

### **Notice for Brokers' Empanelment**



**IDBI Bank Limited  
Treasury Back Office, Mumbai**

The IDBI Bank Limited (IDBI Bank) invites application for Empanelment of Brokers engaged in Treasury Segment viz. Derivative, Debt, Equity and Forex for F.Y. 2026-2027.

Detailed eligibility criteria and required documents are given at Annexure A and B respectively.

As this publication is for inviting application for New Empanelment, the Brokers' who are at present empanelled with IDBI Bank need not send across any application based on this Notice.

Interested Brokers are required to submit their application, along with necessary documents, latest by 12-Dec-2025 upto 5.00 pm at the address given below.

For further queries you may contact the Asst. General Manager, Treasury Back office, IDBI Bank at 022-6626 3673/3738/3686,  
email: [nostro.tbo@idbi.co.in](mailto:nostro.tbo@idbi.co.in)

**IDBI Bank Limited  
Treasury Back Office  
IDBI Tower ,17<sup>th</sup> Floor, B-Wing, WTC Complex,  
Cuffe Parade, Colaba,  
Mumbai-400005**

**All sealed cover should be submitted with "Empanelment of Brokers"  
written on top of the cover**

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**Annexure A**  
**Eligibility Criteria for Brokers' Review and Empanelment**

The Bank will select brokers for operating in the Treasury Segment i.e. Equity, Forex, Debt and Derivative markets on the basis of the following norms.

<b>Equity</b>	<b>Forex</b>	<b>Debt</b>	<b>Derivative</b>
1. Should be a corporate entity	1. Should have to be on the approved list of Foreign Exchange Dealers Association of India (FEDAI).	1. Should be a Corporate Body	1. Should be FIMMDA accredited broking house
2. Should have a minimum net worth of Rs.20 crore	2. Minimum net worth of Rs.1.50 crore	2. Minimum net worth Rs.1.50 crore	-
3. Should have achieved a minimum turnover of Rs.500 crore in cash segment in the immediately preceding financial year.	3. Should have achieved a minimum turnover of Rs.500 crore in the Forex Market in the immediately preceding financial year.	3. Should have achieved a minimum turnover of Rs.500 crore in the secondary market in the immediate preceding financial year.	-
4. Should have minimum 5 years of experience in capital market operations	4. Minimum experience of one year in Forex Market.	4. Minimum experience of one year as a member of BSE/NSE Stock Exchange	-
-	5. Brokers who are accredited by Foreign Exchange Dealers Association of India (FEDAI) shall be eligible for empanelment	-	-
5. Should be profit making entity for preceding two years	6. Should be a profit making entity	5. Should be profit making entity in any one of the past three financial years	-
6. The broker should necessarily be a member of NSE / BSE	7. Debt/Equity ratio would be approved as per IDBI's internal policy.	6. Debt/Equity ratio would be approved as per IDBI's internal policy.	-
7. There should be no adverse report about the applicant broker from SEBI/Stock Exchanges/Other Regulators as also it should have good market reputation	8. There should be no adverse report about the applicant broker from RBI/ FEDAI / SEBI as also it should have good market reputation	7. There should be no adverse report about the applicant broker from SEBI/BSE /NSE as also it should have good market reputation	-

**Annexure B**  
**Indicative List of Documents to be submitted for Brokers Empanelment**

1. Brief Synopsis of the Company, Firms and Others -Attested by Authorised signatories
2. Application from Authorised signatories to apply for broker empanelment process for FY 2026-27.
3. Copy of Resolution passed for authorised signatories and to apply for participation in broker empanelment. Designation of the persons as authorised signatories to be specifically mentioned. Board resolution should be signed by the Company Secretary or at least 2 directors.
4. Pan Card of the entity -Attested by Authorised signatories /Company Secretary
5. Partnership deed duly certified by minimum two partners as true copy
6. Registration Certificate of the entity. Attested by Authorised signatories / Company Secretary
7. Address Proof of the entity (Permanent and Communication)- Attested by Authorised signatories
8. Certified copy of KYC (ID and Address proof) of the authorised person(s) as per partnership deed/ Resolution passed/ authorisation letter.-Self attested
9. Certificate of Incorporation & Commencement – Attested by Authorised signatories / Company Secretary
10. Memorandum & Article of Association – Attested by Authorised signatories / Company Secretary
11. List of Directors/ Partner of Company / Partnership Firm etc. – Attested by Authorised signatories/ Company Secretary.
12. Company Identity Number (CIN)- Attested by Authorised signatories
13. SEBI/NSE/BSE registration certificate for various segments – Attested by Authorised signatories / Company Secretary
14. Membership Certificate issued by Exchange – Attested by Authorised signatories / Company Secretary
15. FEDAI/FIMMDA Accreditation letter - Attested by Authorised signatories / Company Secretary.
16. Latest Shareholding pattern – Attested by Authorised signatories / Company Secretary.
17. Audited Annual Report as on 31/03/2025, 31/03/2024, and 31/03/2023-Attested by Statutory auditors / Chartered Accountant.
18. Turnover Certificate for last two financial years issued by various Stock Exchanges -Attested by Statutory auditors.
19. Audited Net worth Certificate as on 31/03/2025-Attested by Statutory / Chartered Accountant.
20. Latest Status Report issued by Exchanges (Preferably 30/11/2025 or 31/10/2025 but not prior to 01/04/2025) - Attested by Company Secretary.
21. Declaration from broker stating that no default/penal proceeding are pending against him from SEBI, NSE, BSE or any other statutory body.
22. List of clients with whom your brokerage house is currently empanelled- Certified by Authorised signatories / Company Secretary.
23. Contact detail (Name, phone number and email id) of nodal official. - Attested by Authorised signatories
24. Authorised Brokerage charges details. - Attested by Authorised signatories.
25. Any other documents required by IDBI Bank, if any